



IFW

2812

Application No. 10/564,015
Information Disclosure Statement Dated March 14, 2007
Attorney Docket No. 0149-053929

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/564,015 Confirmation No. 4101
Applicants : Shinji SHIMOSAKI et al.
Filed : May 12, 2006
Title : **METHOD FOR FORMING METAL OXIDE COATING
FILM AND VAPOR DEPOSITION APPARATUS**
Group Art Unit : 2812
Examiner : Not Yet Assigned
Customer No. : 28289

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 CFR §§ 1.56, 1.97, and 1.98, the Applicants hereby submit this Information Disclosure Statement together with a completed Form PTO/SB/08A. Copies of the foreign patent references and their corresponding English language Abstract are provided herewith. The cited U.S. patents are not enclosed pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 14, 2007.

Kay E. Yetter

(Name of Person Mailing Paper)

 03/14/2007
Signature Date

{W0343697.1}



Application No. 10/564,015
Information Disclosure Statement Dated March 14, 2007
Attorney Docket No. 0149-053929

This application is the U.S. national phase application of PCT Application Number PCT/JP04/009903, filed July 6, 2004. Some of the references identified on Form PTO/SB/08a were cited in the International Search Report (ISR) dated October 19, 2004 in the underlying PCT Application. A copy of the ISR is enclosed herewith. The claims in the present invention are substantially similar to the claims filed in the PCT application. It should be noted that the references cited in the ISR were cited to define the general state of the art and are not considered to be of particular relevance.

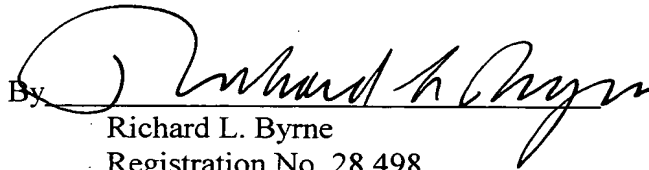
WO 89/00549 and U.S. 4,293,326 are submitted herewith as English-language equivalents to JP 07-110994 and JP 61-050892, respectively.

JP 2000-262904 and JP 2000-266902 were cited on page 2 of the specification and are relevant for the reasons stated therein.

Corresponding English-language Abstracts are provided herewith for each of the cited Japanese references.

Since this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits, it is timely under 37 C.F.R. §1.97(b)(3) and no fee is required. The Commissioner of Patents and Trademarks is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and one (1) copy of this Information Disclosure Statement are enclosed.

Respectfully submitted,
THE WEBB LAW FIRM

By 

Richard L. Byrne
Registration No. 28,498
Attorney for Applicants
700 Koppers Building
436 Seventh Avenue
Pittsburgh, PA 15219
Telephone: (412) 471-8815
Facsimile: (412) 471-4094
E-mail: webblaw@webblaw.com

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/564,015
				Filing Date	May 12, 2006
				First Named Inventor	Shinji SHIMOSAKI et al.
				Art Unit	2812
				Examiner Name	Not Yet Assigned
Sheet	1	Of	1	Attorney Docket Number	0149-053929

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 4,293,326	10-06-1981	Terneu et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 89/00549	01-26-1989	M & T Chemicals, Inc.		
		JP 61-50892	02-04-1980	BFG Glass Group	Eng. Abstract Attach.	
		JP 04-080371	03-13-1992	Cannon Inc.	Eng. Abstract Attach.	
		JP 05-195230	08-03-1993	Sumitomo Electric Ind. Ltd.	Eng. Abstract Attach.	
		JP 07-003463	01-06-1995	Glaverbel SA	Eng. Abstract Attach.	
		JP 07-110994	11-29-1995	M & T Chemicals, Inc.	Eng. Abstract Attach.	
		JP 09-059777	03-04-1997	Sekisui Chem Co. Ltd.	Eng. Abstract Attach.	
		JP 2000-064050	02-29-2000	Ashahi Glass Co. Ltd.	Eng. Abstract Attach.	
		JP 2000-266902	09-29-2000	Inax Corp.	Eng. Abstract Attach.	
		JP 2000-262904	09-26-2000	Inax Corp.	Eng. Abstract Attach.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2